

S/N

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Forehand, et al.

Examiner:

Serial No.:

Group Art Unit:

Filed:

Docket No.: STL9831/40046.137USU1

Title: NON-VOLATILE WRITE CACHE, IN A DISC DRIVE, USING AN
ALTERNATE POWER SOURCE



CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL719664814US

Date of Deposit: June 27, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By:

Name: Mary C. Notter

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not

represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Dated: _____

6/27/01



Respectfully submitted

A handwritten signature in dark ink, appearing to read "John R. Wahl". The signature is written over a horizontal line.

John R. Wahl, #33,044
Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
303.357.1644

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: STL9831/40046.137USU1	Application Number:
	Applicant: Forehand, et al.	
	Filing Date:	Group Art Unit:

11000 U.S. PTO
09/894152
06/27/01

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
-	4,912,632	3/27/90	Gach, et al.	364	200		
-	5,420,983	5/30/95	Noya, et al.	395	275		
-	5,448,719	9/5/95	Schultz, et al.	395	182.03		
-	5,551,002	8/27/96	Rosich, et al.	395	461		
-	5,598,395	1/28/97	Watanabe	369	116		
-	5,636,355	6/3/97	Ramakrishnan, et al.	395	440		
-	5,680,539	10/21/97	Jones	395	182.04		
-	5,761,709	6/2/98	Kranich	711	122		
-	5,765,193	6/9/98	Rosich, et al.	711	136		
-	5,813,024	9/22/98	Saito	711	113		
-	5,829,018	10/27/98	Moertl, et al.	711	113		
-	5,829,019	10/27/98	Thompson, et al.	711	113		
-	5,860,113	1/12/99	Tung	711	144		
-	5,900,016	5/4/99	Ghosh	711	143		
-	5,900,018	5/4/99	Lynch	711	147		
-	5,991,852	11/23/99	Bagley	711	112		
-	6,009,498	12/28/99	Kumasawa, et al.	711	113		
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							



23552

PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	